

Determinations Committee Decision

Date:	October 15, 2009
Determinations Committee:	Americas
Meeting Date:	October 15, 2009

DC Issue 2009081101:	Americas Historical Succession Events	
1st Question for vote:	(a) Has a Succession Event occurred with respect to GlobalSantaFe Corporation ? (b) Is Transocean Worldwide Inc. the sole Successor? (c) Is the date of the Succession Event November 27, 2007?	
Vote result:	Yes	
Votes:	15 'Yes' votes and 0 'No' votes	
	Bank of America / Merrill Lynch	Yes
	Barclays	Yes
	Citibank	Yes
	Credit Suisse	Yes
	Deutsche Bank AG	Yes
	Elliott Management Corporation	Yes
	Goldman Sachs	Yes
	JPMorgan Chase Bank, N.A.	Yes
	Legal & General Investment Management Limited	Yes
	Morgan Stanley	Yes
	Pacific Investment Management Company LLC	Yes
	Primus Asset Management, Inc.	Yes
	Rabobank International	Yes
	The Royal Bank of Scotland	Yes
	UBS	Yes

2nd Question for vote:	Did VIACOM INC. change its name to CBS Corporation on December 31, 2005, and do you agree that no Succession Event occurred at that time?	
Vote result:	Yes	
Votes:	15 'Yes' votes and 0 'No' votes	
	Bank of America / Merrill Lynch	Yes
	Barclays	Yes
	Citibank	Yes
	Credit Suisse	Yes
	Deutsche Bank AG	Yes
	Elliott Management Corporation	Yes
	Goldman Sachs	Yes
	JPMorgan Chase Bank, N.A.	Yes

	Legal & General Investment Management Limited	Yes
	Morgan Stanley	Yes
	Pacific Investment Management Company LLC	Yes
	Primus Asset Management, Inc.	Yes
	Rabobank International	Yes
	The Royal Bank of Scotland	Yes
	UBS	Yes

3rd Question for vote:	Did Northrop Grumman Corporation change its name to Northrop Grumman Systems Corporation on May 30, 2001, and do you agree that no Succession Event occurred at that time?	
Vote result:	Yes	
Votes:	15 'Yes' votes and 0 'No' votes	
	Bank of America / Merrill Lynch	Yes
	Barclays	Yes
	Citibank	Yes
	Credit Suisse	Yes
	Deutsche Bank AG	Yes
	Elliott Management Corporation	Yes
	Goldman Sachs	Yes
	JPMorgan Chase Bank, N.A.	Yes
	Legal & General Investment Management Limited	Yes
	Morgan Stanley	Yes
	Pacific Investment Management Company LLC	Yes
	Primus Asset Management, Inc.	Yes
	Rabobank International	Yes
	The Royal Bank of Scotland	Yes
	UBS	Yes

4th Question for vote:	Did Phelps Dodge Corporation change its name to Freeport-McMoRan Corporation on April 15, 2008, and do you agree that no Succession Event occurred at that time?	
Vote result:	Yes	
Votes:	15 'Yes' votes and 0 'No' votes	
	Bank of America / Merrill Lynch	Yes
	Barclays	Yes
	Citibank	Yes
	Credit Suisse	Yes
	Deutsche Bank AG	Yes
	Elliott Management Corporation	Yes
	Goldman Sachs	Yes
	JPMorgan Chase Bank, N.A.	Yes

	Legal & General Investment Management Limited	Yes
	Morgan Stanley	Yes
	Pacific Investment Management Company LLC	Yes
	Primus Asset Management, Inc.	Yes
	Rabobank International	Yes
	The Royal Bank of Scotland	Yes
	UBS	Yes

5th Question for vote:	Did FALCONBRIDGE LIMITED change its name to XSTRATA CANADA CORPORATION on October 22, 2007, and do you agree that no Succession Event occurred at that time?	
Vote result:	Yes	
Votes:	15 'Yes' votes and 0 'No' votes	
	Bank of America / Merrill Lynch	Yes
	Barclays	Yes
	Citibank	Yes
	Credit Suisse	Yes
	Deutsche Bank AG	Yes
	Elliott Management Corporation	Yes
	Goldman Sachs	Yes
	JPMorgan Chase Bank, N.A.	Yes
	Legal & General Investment Management Limited	Yes
	Morgan Stanley	Yes
	Pacific Investment Management Company LLC	Yes
	Primus Asset Management, Inc.	Yes
	Rabobank International	Yes
	The Royal Bank of Scotland	Yes
	UBS	Yes

6th Question for vote:	Did COMPANHIA VALE DO RIO DOCE change its name to Vale S.A. on May 22, 2009, and do you agree that no Succession Event occurred at that time?	
Vote result:	Yes	
Votes:	15 'Yes' votes and 0 'No' votes	
	Bank of America / Merrill Lynch	Yes
	Barclays	Yes
	Citibank	Yes
	Credit Suisse	Yes
	Deutsche Bank AG	Yes
	Elliott Management Corporation	Yes
	Goldman Sachs	Yes
	JPMorgan Chase Bank, N.A.	Yes

	Legal & General Investment Management Limited	Yes
	Morgan Stanley	Yes
	Pacific Investment Management Company LLC	Yes
	Primus Asset Management, Inc.	Yes
	Rabobank International	Yes
	The Royal Bank of Scotland	Yes
	UBS	Yes

7th Question for vote:	Has a Succession Event occurred with respect to DUKE ENERGY CAROLINAS, LLC resulting in Duke Energy Corporation as the Successor?	
Vote result:	No	
Votes:	0 'Yes' votes and 15 'No' votes	
	Bank of America / Merrill Lynch	No
	Barclays	No
	Citibank	No
	Credit Suisse	No
	Deutsche Bank AG	No
	Elliott Management Corporation	No
	Goldman Sachs	No
	JPMorgan Chase Bank, N.A.	No
	Legal & General Investment Management Limited	No
	Morgan Stanley	No
	Pacific Investment Management Company LLC	No
	Primus Asset Management, Inc.	No
	Rabobank International	No
	The Royal Bank of Scotland	No
	UBS	No

8th Question for vote:	Did a Succession Event occur with respect to Nextel Communications, Inc. on or about June 27, 2005 resulting in Sprint Nextel Corporation as the Successor? (*The DC notes that Nextel Communications, Inc. merged with a subsidiary of Sprint Nextel Corporation, and the surviving entity was renamed Nextel Communications, Inc.)	
Vote result:	No	
Votes:	0 'Yes' votes and 15 'No' votes	
	Bank of America / Merrill Lynch	No
	Barclays	No

	Citibank	No
	Credit Suisse	No
	Deutsche Bank AG	No
	Elliott Management Corporation	No
	Goldman Sachs	No
	JPMorgan Chase Bank, N.A.	No
	Legal & General Investment Management Limited	No
	Morgan Stanley	No
	Pacific Investment Management Company LLC	No
	Primus Asset Management, Inc.	No
	Rabobank International	No
	The Royal Bank of Scotland	No
	UBS	No

9th Question for vote:	Did a Succession Event occur with respect to Burlington Resources Inc on or about April 3, 2006 resulting in ConocoPhillips as the Successor? (*The DC notes that Burlington Resources Inc merged with a subsidiary of ConocoPhillips, and the surviving entity was renamed Burlington Resources Inc.)	
Vote result:	No	
Votes:	0 'Yes' votes and 15 'No' votes	
	Bank of America / Merrill Lynch	No
	Barclays	No
	Citibank	No
	Credit Suisse	No
	Deutsche Bank AG	No
	Elliott Management Corporation	No
	Goldman Sachs	No
	JPMorgan Chase Bank, N.A.	No
	Legal & General Investment Management Limited	No
	Morgan Stanley	No
	Pacific Investment Management Company LLC	No
	Primus Asset Management, Inc.	No
	Rabobank International	No
	The Royal Bank of Scotland	No
	UBS	No