

Determinations Committee Decision

Date:	August 2, 2010
Determinations Committee:	EMEA
Meeting Date:	July 28, 2010

Issue Number: 2009081701	Historical Succession Events List:
Question 1. for vote:	Do you agree that a Succession Event did NOT occur with respect to the Bank for Foreign Trade (Open Joint Stock Company) (whose abbreviated corporate name is JSC Vneshtorgbank) on or about 19 October 2006, 11 December 2006 or 1 March 2007, and that VTB Bank (Open Joint Stock Company) (whose abbreviated corporate name is JSC VTB Bank) was NOT a Successor to the Bank for Foreign Trade (Open Joint Stock Company) (whose abbreviated corporate name is JSC Vneshtorgbank) at such time?
Vote result:	YES
Votes:	<p>15 "Yes" votes - Bank of America / Merrill Lynch</p> <p>Barclays</p> <p>Credit Suisse</p> <p>Deutsche Bank AG</p> <p>Goldman Sachs</p> <p>JPMorgan Chase Bank, N.A.</p> <p>Morgan Stanley</p> <p>UBS</p> <p>BNP Paribas</p> <p>RBS</p> <p>Citadel Investment Group LLC</p> <p>D.E. Shaw Group</p> <p>BlueMountain Capital</p> <p>Rabobank International</p> <p>Elliott Management Corporation</p> <p>0 "No" votes</p>
Question 2. for vote:	Do you agree that on 1 September 2007, a Succession Event did NOT occur with respect to COMPAGNIA ASSICURATRICE UNIPOL S.P.A. and that Unipol Gruppo Finanziario S.p.A was NOT a Successor to COMPAGNIA ASSICURATRICE UNIPOL S.P.A. at such time?
Vote result:	YES
Votes:	<p>15 "Yes" votes - Bank of America / Merrill Lynch</p> <p>Barclays</p> <p>Credit Suisse</p> <p>Deutsche Bank AG</p> <p>Goldman Sachs</p> <p>JPMorgan Chase Bank, N.A.</p> <p>Morgan Stanley</p> <p>UBS</p> <p>BNP Paribas</p> <p>RBS</p>

	<p>Citadel Investment Group LLC D.E. Shaw Group BlueMountain Capital Rabobank International Elliott Management Corporation</p> <p>0 "No" votes</p>
Question 3. for vote:	Do you agree that a Succession Event occurred in respect of Banca Lombarda e Piemontese Societa per Azioni on 1 April 2007 and that Banche Popolari Unite Societa cooperative per Azioni (now called Unione di Banche Italiane Societa cooperative per azioni) was the sole Successor at such time?
Vote result:	YES
Votes:	<p>15 "Yes" votes - Bank of America / Merrill Lynch Barclays Credit Suisse Deutsche Bank AG Goldman Sachs JPMorgan Chase Bank, N.A. Morgan Stanley UBS BNP Paribas RBS Citadel Investment Group LLC D.E. Shaw Group BlueMountain Capital Rabobank International Elliott Management Corporation</p> <p>0 "No" votes</p>
Question 4. for vote:	Do you agree that a Succession Event did NOT occur with respect to Invesco plc on about 23 rd May 2007 or 4 December 2007, and that Invesco Holding Company Limited was NOT a successor to INVESCO PLC at that time?
Vote result:	YES
Votes:	<p>15 "Yes" votes - Bank of America / Merrill Lynch Barclays Credit Suisse Deutsche Bank AG Goldman Sachs JPMorgan Chase Bank, N.A. Morgan Stanley UBS BNP Paribas RBS Citadel Investment Group LLC D.E. Shaw Group BlueMountain Capital Rabobank International</p>

	Elliott Management Corporation 0 "No" votes
Question 5. for vote:	Does this DC agree that a Succession Event did NOT occur with respect to Man Aktiengesellschaft on 19 May 2009 and that MAN SE was NOT a Successor at such time?
Vote result	YES
	15 "Yes" votes - Bank of America / Merrill Lynch Barclays Credit Suisse Deutsche Bank AG Goldman Sachs JPMorgan Chase Bank, N.A. Morgan Stanley UBS BNP Paribas RBS Citadel Investment Group LLC D.E. Shaw Group BlueMountain Capital Rabobank International Elliott Management Corporation 0 "No" votes
Question 6. for vote:	Does this DC agree that a Succession Event did NOT occur with respect to Man AG on 12 June 2009 and that MAN SE was NOT a Successor at such time?
Vote result	YES
	15 "Yes" votes - Bank of America / Merrill Lynch Barclays Credit Suisse Deutsche Bank AG Goldman Sachs JPMorgan Chase Bank, N.A. Morgan Stanley UBS BNP Paribas RBS Citadel Investment Group LLC D.E. Shaw Group BlueMountain Capital Rabobank International Elliott Management Corporation 0 "No" votes