Determinations Committee Decision

Date:	March 30, 2020
Determinations Committee:	EMEA / Americas / Asia Ex-Japan / Japan / Australia & New Zealand
Date of Vote:	March 30, 2020

	Revised DC Ru	les			
First question for vote:	Do you agree to vote on questions 2 to 5 by way of email vote?				
DC Region Voting Member	Americas	EMEA	Asia-Ex Japan	Japan	Australia & New Zealand
Bank of America N.A.	Yes	Yes	Yes	Yes	Yes
Barclays Bank plc	Yes	Yes	Yes	Yes	Yes
BNP Paribas	Yes	Yes	Yes	Yes	Yes
Citibank, N.A.	Yes	Yes	Yes	Yes	Yes
Credit Suisse International	Yes	Yes	Yes	Yes	Yes
Goldman Sachs International	Yes	Yes	Yes	Yes	Yes
JP Morgan Chase Bank, N.A.	Yes	Yes	Yes	Yes	Yes
Deutsche Bank AG	Yes	Yes	Yes	Yes	Yes
Mizuho Securities Co., Ltd	Yes	Yes	Yes	Yes	Yes
Citadel Americas LLC	Yes	Yes	Yes	Yes	Yes
Pacific Investment Management Co., LLC	Yes	Yes	Yes	Yes	Yes
AllianceBernstein L.P.	Yes	Yes	Yes	Yes	Yes
Cyrus Capital Partners, L.P.	Yes	Yes	Yes	Yes	Yes
Elliott Management Corporation	Yes	Yes	Yes	Yes	Yes
Vote result:	Yes	Yes	Yes	Yes	Yes
Second question for vote:	Do you agree that, until September 30, 2020, for the purposes of Section 2.4 (Procedures of a Convened DC) of the DC Rules, the DC Secretary shall have the discretion to amend or extend any deadline or time period established by the DC Rules for purposes of convening DC meetings in order to achieve a Quorum?				
DC Region Voting Member	Americas	EMEA	Asia-Ex Japan	Japan	Australia & New Zealand
Bank of America N.A.	Yes	Yes	Yes	Yes	Yes
Barclays Bank plc	Yes	Yes	Yes	Yes	Yes
BNP Paribas	Yes	Yes	Yes	Yes	Yes
Citibank, N.A.	Yes	Yes	Yes	Yes	Yes
Credit Suisse International	Yes	Yes	Yes	Yes	Yes

Goldman Sachs International	Yes	Yes	Yes	Yes	Yes
JP Morgan Chase Bank, N.A.	Yes	Yes	Yes	Yes	Yes
Deutsche Bank AG	Yes	Yes	Yes	Yes	Yes
Mizuho Securities Co., Ltd	Yes	Yes	Yes	Yes	Yes
Citadel Americas LLC	Yes	Yes	Yes	Yes	Yes
Pacific Investment Management	Yes	Yes	Yes	Yes	Yes
Co., LLC					
AllianceBernstein L.P.	Yes	Yes	Yes	Yes	Yes
Cyrus Capital Partners, L.P.	Yes	Yes	Yes	Yes	Yes
Elliott Management Corporation	Yes	Yes	Yes	Yes	Yes
Vote result:	Yes	Yes	Yes	Yes	Yes
Third question for vote:	In respect of th	ne (i) Americas;	(ii) Asia Ex-Japa	n; and (iii) ANZ I	C only , do you
•	-		this DC to delibe		•
	•	•	r Section 3.5 (S	•	•
			-		estions by the legal
	subcommittee	of this DC unle	ess a Convened	DC Member of	that DC Issue
	notifies the D	C Secretary (DC	CSecretary@cdso	determinationsc	ommittees.org)
	that the first m	eeting of this D	C should take pla	ice at an earlier t	time but, in any
	event, in acco	rdance with Sec	tion 2.4(b) (<i>Con</i>	vening the Com	mittee for First
	<i>Time</i>) of the D	C Rules?	1	1	1
	Americas	EMEA	Asia-Ex Japan	Japan	Australia &
DC Region					New Zealand
Voting Member					
Bank of America N.A.	Yes	N/A	Yes	N/A	Yes
Barclays Bank plc	Yes	N/A	Yes	N/A	Yes
BNP Paribas	Yes	N/A	Yes	N/A	Yes
Citibank, N.A.	Yes	N/A	Yes	N/A	Yes
Credit Suisse International	Yes	N/A	Yes	N/A	Yes
Goldman Sachs International	Yes	N/A	Yes	N/A	Yes
JP Morgan Chase Bank, N.A.	Yes	N/A	Yes	N/A	Yes
Deutsche Bank AG	Yes	N/A	Yes	N/A	Yes
Mizuho Securities Co., Ltd	Yes	N/A	Yes	N/A	Yes
Citadel Americas LLC	Yes	N/A	Yes	N/A	Yes
Pacific Investment Management	Yes	N/A	Yes	N/A	Yes
Co., LLC					
AllianceBernstein L.P.	Yes	N/A	Yes	N/A	Yes
Cyrus Capital Partners, L.P.	Yes	N/A	Yes	N/A	Yes
Elliott Management Corporation	Yes	N/A	Yes	N/A	Yes
Vote result:	Yes	N/A	Yes	N/A	Yes
Fourth question for vote:			mendments to t mmittees Rules?		edit

	Americas	EMEA	Asia-Ex Japan	Japan	Australia &
DC Region					New Zealand
Voting Member					
Bank of America N.A.	Yes	Yes	Yes	Yes	Yes
Barclays Bank plc	Yes	Yes	Yes	Yes	Yes
BNP Paribas	Yes	Yes	Yes	Yes	Yes
Citibank, N.A.	Yes	Yes	Yes	Yes	Yes
Credit Suisse International	Yes	Yes	Yes	Yes	Yes
Goldman Sachs International	Yes	Yes	Yes	Yes	Yes
JP Morgan Chase Bank, N.A.	Yes	Yes	Yes	Yes	Yes
Deutsche Bank AG	Yes	Yes	Yes	Yes	Yes
Mizuho Securities Co., Ltd	Yes	Yes	Yes	Yes	Yes
Citadel Americas LLC	Yes	Yes	Yes	Yes	Yes
Pacific Investment Management	Yes	Yes	Yes	Yes	Yes
Co., LLC					
AllianceBernstein L.P.	Yes	Yes	Yes	Yes	Yes
Cyrus Capital Partners, L.P.	Yes	Yes	Yes	Yes	Yes
Elliott Management Corporation	Yes	Yes	Yes	Yes	Yes
Vote result:	Yes	Yes	Yes	Yes	Yes
Fifth question for vote:	Do you agree	that the public co	omment period ι	under Section 5.2	2(e) of the
Fifth question for vote:		that the public co Ild not apply to th	•		
Fifth question for vote:		•	•		
Fifth question for vote:	DC Rules shou	ld not apply to th	nese amendmen	ts to the DC Rule	es?
	DC Rules shou	ld not apply to th	nese amendmen	ts to the DC Rule	Australia &
DC Region	DC Rules shou	ld not apply to th	nese amendmen	ts to the DC Rule	Australia &
DC Region Voting Member	DC Rules shou Americas	Ild not apply to th EMEA	nese amendmen Asia-Ex Japan	ts to the DC Rule Japan	Australia & New Zealand
DC Region Voting Member Bank of America N.A.	DC Rules shou Americas Yes	EMEA	nese amendmen Asia-Ex Japan Yes	ts to the DC Rule Japan Yes	Australia & New Zealand Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc	DC Rules shou Americas Yes Yes	Ild not apply to th EMEA Yes Yes	Asia-Ex Japan Yes Yes	ts to the DC Rule Japan Yes Yes	Australia & New Zealand Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas	DC Rules shou Americas Yes Yes Yes	EMEA Yes Yes Yes	Asia-Ex Japan Yes Yes	ts to the DC Rule Japan Yes Yes Yes	Australia & New Zealand Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A.	DC Rules shou Americas Yes Yes Yes Yes	Ild not apply to th EMEA Yes Yes Yes Yes	Asia-Ex Japan Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International	DC Rules shou Americas Yes Yes Yes Yes Yes	Ild not apply to th EMEA Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International Goldman Sachs International	DC Rules shou Americas Yes Yes Yes Yes Yes Yes Yes	Ild not apply to th EMEA Yes Yes Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Yes Yes Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International Goldman Sachs International JP Morgan Chase Bank, N.A.	DC Rules shou Americas Yes Yes Yes Yes Yes Yes Yes Yes	Ild not apply to th EMEA Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International Goldman Sachs International JP Morgan Chase Bank, N.A. Deutsche Bank AG	DC Rules shou Americas Yes Yes Yes Yes Yes Yes Yes Yes Yes Ye	Id not apply to th EMEA Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International Goldman Sachs International JP Morgan Chase Bank, N.A. Deutsche Bank AG Mizuho Securities Co., Ltd	DC Rules shou Americas Yes Yes Yes Yes Yes Yes Yes Yes Yes Ye	Ild not apply to th EMEA Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International Goldman Sachs International JP Morgan Chase Bank, N.A. Deutsche Bank AG Mizuho Securities Co., Ltd Citadel Americas LLC	DC Rules shou Americas Yes Yes Yes Yes Yes Yes Yes Yes Yes Ye	Ild not apply to th EMEA Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Asia-Ex Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International Goldman Sachs International JP Morgan Chase Bank, N.A. Deutsche Bank AG Mizuho Securities Co., Ltd Citadel Americas LLC Pacific Investment Management	DC Rules shou Americas Yes Yes Yes Yes Yes Yes Yes Yes Yes Ye	Ild not apply to th EMEA Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Asia-Ex Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International Goldman Sachs International JP Morgan Chase Bank, N.A. Deutsche Bank AG Mizuho Securities Co., Ltd Citadel Americas LLC Pacific Investment Management Co., LLC	DC Rules shou Americas Yes Yes Yes Yes Yes Yes Yes Yes Yes Ye	Ild not apply to th EMEA Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Asia-Ex Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes
DC Region Voting Member Bank of America N.A. Barclays Bank plc BNP Paribas Citibank, N.A. Credit Suisse International Goldman Sachs International JP Morgan Chase Bank, N.A. Deutsche Bank AG Mizuho Securities Co., Ltd Citadel Americas LLC Pacific Investment Management Co., LLC AllianceBernstein L.P.	DC Rules shou Americas Yes Yes Yes Yes Yes Yes Yes Yes Yes Ye	Ild not apply to th EMEA Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Asia-Ex Japan Asia-Ex Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	ts to the DC Rule Japan Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Australia & New Zealand Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes

Amendment to Section 6 (*Definitions*) of the 2018 Credit Derivatives Determinations Committees Rules (September 28, 2018 Version)

March 30, 2020

The definition of "List Review Date" in Section 6 (*Definitions*) of the 2018 Credit Derivatives Determinations Committees Rules (September 28, 2018 Version), as amended or supplemented from time to time (the Rules) shall be deleted in its entirety and replaced by the following:

"List Review Date" means:

- (a) the Initial List Review Date;
- (b) subject to subparagraph (c) below, the DC Business Day occurring on or immediately prior to March 30 of each calendar year thereafter; and
- (c) with respect to each reference to a "List Review Date" in Section 1.3(a) (*Compiling DTCC Dealer Lists*); Section 1.3(a)(i) (*Affiliate Accounts*); Section 1.3(b) (*Compiling CCP Lists*); Section 1.6 (*Identifying DC Members*); Section 1.7 (*Identifying CCP Members*); Section 1.8 (*Procedures for becoming a DC Member*); Section 1.9 (*Procedures for becoming a CCP Member*); and Section 1.11 (*Replacement of DC Members*), for purposes of the List Review Date occurring in 2020 only, May 20, 2020.